Irrigation Department, Uttar Pradesh

Pre-Qualification Tender documents
for

Construction of Bhaoni Dam in District Lalitpur

(Execution of Engineering, Procurement, Investigation, Design & Construction of Bhaoni Dam Project)

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Financial Year - 2012-13

Irrigation Construction Division-I
Lalitpur

Irrigation Construction Circle
Jhansi

Chief Engineer (Pariyojana/Betwa), U.P.I.D., Jhansi

Office of the Superintending Engineer
Irrigation Construction Circle, Jhansi (U.P.)-284401

List of important dates of Technical Bids for

"Construction of Bhaoni Dam Project in District Lalitpur" (EPIC)

Sr	Item	Details
1	Name of work	**Construction of Bhaoni Dam Project**
2	Completion period for Construction	36 months
3	Date of issue of Notice inviting bid	Dt.- 01.09.2012
4	Period of sale of bidding documents	Dt.- 07.09.2012 to 15.09.2012 (up to 05:00 PM)
5	Place of sale of bidding documents	1. Executive Engineer, Irrigation Construction Division-I, Lalitpur. 2. Executive Engineer, Irrigation Construction Division-Matatila. 3. Executive Engineer, Irrigation Construction Division-V, Jhansi.
6	Date of pre-bid meeting	Dt- 14.09.2012 (11:00 AM)
7	Deadline for receiving bids	Dt- 18.09.2012 (up to 03:00 PM) Place(1)-Office of S.E., I.C.C., Jhansi, Place(2)-Office of E.E., I.C.D.-I, Lalitpur,
8	Date & time of opening Bids	Dt. 21.09.2012 (03:00 PM)
9	Place of opening Bids	Office of the Superintending Engineer, Irrigation Construction Circle, Railway Station Road, "Betwa-Bhawan", Civil Line, Jhansi (U.P.), PIN-284403 Ph/Fax- 0510-2441631
10	Last date of validity of Bid	90 days from the date of receiving financial bid.
11	Officer inviting Bids	Superintending Engineer, Irrigation Construction Circle, U.P.I.D., Jhansi(U.P.), PIN-284401 Ph/Fax- 0510-2441631

Pre-Bid tender document of

"Construction of Bhaoni Dam Project in District Lalitpur" (EPIC)

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Office of The Superintending Engineer
Irrigation Construction Circle, Jhansi

Tender Notice No.-01/2012-13

The Superintending Engineer, Irrigation Construction Circle, Jhansi on behalf of Governor of Uttar Pradesh invites sealed "Pre-Qualification Bids" from firms/Contractors registered in "**AA**" category in U.P. Irrigation Department. "Pre-Qualification Bids" are invited on **18-09-2012 (up to 3.00 PM)** in the following Offices -

1. Executive Engineer, Irrigation Construction Division-I, Lalitpur.
2. Executive Engineer, Irrigation Construction Division-Matatila.
3. Executive Engineer, Irrigation Construction Division-V, Jhansi.

Interested eligible Firms/contractors may purchase "**tender document**" after paying its cost from **07-09-2012** to **15-09-2012** on any working day from above offices. "Tender document" by registered post may also be obtained on payment of additional Rs. 200.00 postage charges. All prequalification bids received from " tenderers " will be opened on **21-09-2012** at **3.00 PM** in the office of Superintending Engineer, Irrigation Construction Circle, Jhansi by the tender committee in the presence of bidders/their authorised representatives, which will be examined by the "**Tender-Committee**" constituted by Chief Engineer (Pariyojana/Betwa), U.P.I.D., Jhansi. After approval, "financial bid" shall be called from qualified firms/Contractors. Time and date of "financial bid" shall be displayed on the notice board and qualified bidder shall also be intimated separately by registered post.

Work/tender related details can be seen in the office of the Executive Engineer, Irrigation Construction Division-I, Lalitpur from **07-09-2012** to **15-09-2012** during office hours. A **pre-bid meeting** shall be held on **14-09-2012** in the office of the Superintending Engineer, Irrigation Construction Circle, Jhansi to clarify the issues and to answer questions on work/tender related matter.

Interested eligible Firms/contractors are required to submit form I.D.T.-1, form I.D.T.-2 (in original) issued by the respective District Magistrate at the time of purchase of the "tender document" and they will also submit attested copies of the I.D.T-1 and I.D.T-2. If the firms/Contractors send his/her authorised representative to purchase the "tender document", he

will have to submit authority letter from the contractor attesting signature of the representative along with cost of tender.

Earnest money will be in the form of N.S.C./F.D.R. or bank Guarantee issued by any nationalized or scheduled bank, duly pledged in favour of the Executive Engineer, Irrigation Construction Division-I, Lalitpur. A stamp paper of Rs. 100.00 with affixing Rs. 1.00 revenue stamp stating clearly on stamp that "the bid is valid up to 90 days" shall be submitted along-with pre-bid document. Earnest money shall be forfeited if bidder wants to revoke his tender before expiry of 90 days. specified earnest money shall be deposited along with prequalification bid in form of NSC/FDR or bank guarantee issued by nationalized or scheduled bank in a separate sealed envelope. At the time of agreement stamp duty and balance security amount due shall be required to be deposited. The Superintending Engineer, Irrigation Construction Circle, Jhansi reserves the right to reject any or all tender without assigning any reason.

S. No.	Name of Work	Estimated cost of work	Earnest Money	Period of completion of work	Name of division concerned to work	Cost of Pre-bid / Financial bid document	12.5% VAT	Registered category of firm/ Contractor
1	2	3	4	5	6	7	8	9
1-	"Construction of **Bhaoni Dam**" in District Lalitpur (EPIC based)	Rs.240.00 Crore (Rs.Two hundred Forty crore)	Rs. 4.80 Crore (Rs. Four Crore and Eighty Lakh)	36 Months (Thirty six Months)	Irrigation Construction Division-I, Lalitpur	Rs. 300.00	Rs. 38.00	**"AA"** category registered in U.P. Irrigation department or equivalent category of other states

Location of Site:- Bhaoni dam is proposed to be constructed on Sajnam River near village-Bhaoni of Block-Bar, Tahsil- Mahrauni of district-Lalitpur (U.P.) about 55 km North-East of Lalitpur city.

General Terms & Conditions:-

1- This tender notice is available on web site www.upgov.up.nic.infotech and also on web site of U.P. irrigation department www.idup.gov.in. Tender document can be downloaded from U.P. irrigation department www.idup.gov.in but its cost must be attached with tender document in form of demand draft of any nationalized Bank in favour of "Executive Engineer, Irrigation Construction Division-I, Lalitpur" along with I.D.T.-1, I.D.T.-2, I.D.T.-3 and other required documents. If the drawing is not available at web site then it can be had from

concerning division office. If there is any discrepancy between tender document downloaded from website and tender document available at Executive Engineer office, then the tender document available at Executive Engineer office shall be final.

2- Tender document shall be sold to only those firms/contractors registered in class "AA" U.P. Irrigation department or equivalent category of other states who could show certificate of timely and satisfactorily execution of similar nature of Hydraulic Structure.

3- Any Contractor blacklisted by the government can not participate in the tender process.

4- Any bidder who is registered with state bar council will not be allowed to participate in the tender process. If it comes in notice even after agreement, authorised officer will rescind it immediately.

5- One bidder will be allowed only one bid.

6- If any firm/contractor puts undue pressure in any form on the officers beyond the rules and regulations, his/her tender will be cancelled even if he/she is the first lowest in the financial bid of the work.

7- If Contractor threatened or stopped to any potential bidder participating in the tendering process, he will be disqualified, If this comes to knowledge ever after signing the agreement, the agreement will be cancelled.

8- Firms/Contractors who wish to purchase/send their tender by registered post will have to send cost of the tender document along-with postal charges in form of demand draft or postal order favoring Executive Engineer, Irrigation Construction Division-I, Lalitpur. Sealed tender documents received by registered post shall be entered in Diary register by the Directorate and will be handed over to Divisional Accountant/Cashier immediately, who will immediately sign put his signature with date and time of receipt and intimate the same to Executive Engineer, Irrigation Construction Division-I, Lalitpur so that it can be put timely in tender box along with other tenders before opening of tenders. All tenders sent by registered-post must reach one day prior to the date of tender inviting. Tenders received late by registered post shall not be considered in the bidding process and the department bears no responsibility in this regard.

9- If any information furnished by the bidder is found false or forge at any time the bidder shall be black listed and appropriate legal action would be taken. Even if agreement has been constituted, it will be summarily terminated.

10- It will be examined first that all the received tenders do have complete and required earnest money or not. Any of the tender documents with deficient or unsatisfactory earnest money will not be considered and the tender will not be entered in comparative statement.

Superintending Engineer
Irrigation Construction Circle
Jhansi

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Chapter – 1

Introduction
&
Salient Features

BHAONI DAM PROJECT

Report by S.E.,I.C.C.,Jhansi and E.E., I.C.D.-I ,Lalitpur

"Construction of Bhaoni Dam Project"

1. Introduction:- Proposed Bhaoni Dam is situated at about 40 km d/s of Sajnam dam and 19 km d/s of Kachnouda Dam (under construction) on Sajnam River (tributary of Jamini River). The dam is proposed near village-Bhaoni of "Bar" Block, Tahsil–Mahrauni of District-Lalitpur. Bhaoni Dam site is situated at about 55 km from Lalitpur district Head-quarter.

DESIGN FLOOD: - The design flood discharge of this dam is 6756 Cumec.

PROPOSED IRRIGATION- CCA of the proposed dam is 1950 Hectare. The total proposed irrigation of the project is 3800 Ha (1900 Ha in Rabi and 1900 Ha in Kharif).

SUBMERGENCE- 894 Ha Land will be submerged due to this proposed dam.

GEOLOGICAL INVESTIGATION: Exposed hard granite rock is available in river bed at proposed spillway site. Geological-mapping has been done by GSI. Exploratory Core drilling done at 20 no. drill holes at the spillway site of the proposed dam.

2. Design and Drawings:-The tentative drawings of X-Section of Dam and Spillway has already been approved by the Chief Engineer (Project-Betwa), Jhansi for estimate and tender purpose. The final Design and Drawing shall be prepared by the contractor and approved by the competent authority of the department.

3. Broadly the tender for **"Construction of Bhaoni Dam Project"** consists of following type of works:-

(i) Construction of Civil works of dam and Spillway, Canal-Head-Sluice, Canals and other appurtenant works.
(ii) Excavation in Mar-Kabar-Rankar soil, Moorum, Disintegrated Rock, Soft Rock, Hard Rock and Granite Rock with or without blasting.
(iii) Earth work in cutting/filling in Embankment etc.
(iv) CC/RCC work in M-5,M-7.5,M-10,M-15,M-20 and M25 grade.
(v) Cement grouting, Pitching etc.
(vi) Providing gates for spill way and other hydro-mechanical structure.

4. The contractors are advised to visit the site, study the specification drawings and detailed notes given on the drawing supplied with the tender document before submitting the bid.

5. Firms/Contractors may be asked to furnish further information beyond this document it required by the department.

…….	…….
(Dilip Asthana)	(Bhupendra Sharma)
Executive Engineer	Superintending Engineer
I.C.D.-I, Lalitpur	I.C.C., Jhansi

PROPOSED BHAONI DAM PROJECT

SALIENT FEATURES (as per E.F.C. dt-25.11.2011)

1	**Location of Project-Vill./Block/ Tahsil /Distt.** **Latitude** **Longitude**	**Bhaoani/Bar/Mahrouni / Lalitpur** **Latitude -24^{0}51'18''-N** **Longitude-78^{0}41'15''-E**
2	**Source of Water**	**Sajnam River(Tributari of Jamni River)**
3	**Gross Catchment Area** **Net Catchment Area**	**960.00 sq-km (MP-30,Sajnam Dam-259, Kachnoda Dam-357,Utari Dam-80)** **234 sq-km**
4	**Annual 75% Runoff factor** **Available Runoff**	**0.156 MCM/ sq-km** **36.55 MCM**
5	**Value of "C" in Dicken's formula**	**1600 (FPS System)**
6	**Design Flood for Spillway**	**6,756 Cumec**
7	Type of Dam Ht. of Dam (above River Bed) Length of Dam Storage Capacity- Gross Storage Live Storage Dead Storage Levels- River Bed Level D.S.L. F.R.L. H.F.L. Dam Top Road Level	Earthen Dam 15.68 m 4.000 Km **27.28 MCM** **23.79 MCM** 3.49 MCM **299.82 m** 308.00 m 313.00 m **313.50 m** 315.50 m
8	Spillway- Type Clear water-way / Length Type / No./ Size of Gate Crest Level	Ogee Shaped **168 m / 144 m** **Vertical Gate/12No.-(12.00m X 7.70m)** 305.60 m
9	Total Length of Bhaoni Canal System- Upper Bhaoni Canal-Length/Head Discharge- Lower Bhaoni Canal-Length/Head Discharge- Khaira Minor- Length/Head Discharge- Irrigation- C.C.A. Proposed Irrigation-Total Water available for Irrigation Water available for Thermal Power Plant	15.00 km 7.90 km / 1.03 Cumec (36 Cusec) 5.50 km / 0.46 Cumec (16 Cusec) 1.60 km / 0.13 Cumec (5 Cusec) 1950 Ha 3800 Ha (Rabi-1900Ha+Kharif-1900Ha) 15.07 MCM 8.72 MCM
10	Submerged Area Affected- Village/Building/ Person	894 Ha Nil
11	**Total Cost of Project** **Cost of Works**	**Rs. 369.18 Cr (SOR-Dt-01.06.2011)** **Rs. 344.58 Cr.**
12	**Proposed Year of start of Project**	**2012-13**
	Proposed Year of completion of Project	**2015-16**
13	Benefits- Additional Yield	89,200 Quintle (Food-Grain)
14	Benefit-Cost Ratio	1.04
15	Cost of irrigation/Hectare of benefited area	Rs. 13.68 Lakh /Ha

EE, ICD-I,Lalitpur

Chapter – 2

Information and Instructions

to

the Applicants

COMPETITIVE BIDDING

INFORMATION AND INSTRUCTION TO APPLICANTS

1.00 - GENERAL-

1.01 Letter of Transmittal and forms for pre-qualification are attached.

1.02 ALL INFORMATION REQUESTED FOR IN THE ENCLOSED FORMS SHOULD BE FURNISHED AGAINST THE RESPECTIVE COLUMNS IN THE FORM. IF INFORMATION IS FURNISHED IN A SEPARATE DOCUMENT, REFERENCE TO THE SAME SHOULD BE GIVEN AGAINST RESPECTIVE COLUMN. "IF INFORMATION IS “NIL”, IT SHOULD ALSO BE MENTIONED AS “NIL” OR “NO SUCH CASE”. IF ANY PARTICULAR QUERY IS NOT APPLICABLE IN CASE OF THE APPLICANT IT SHOULD BE STATED AS 'NOT APPLICABLE'. HOWEVER, THE APPLICANTS ARE CAUTIONED THAT NOT GIVING COMPLETE INFORMATION CALLED FOR THE APPLICATION IN THE FORMS REQUIRED, NOT GIVING IT IN CLEAR TERMS OR MAKING ANY CHANGE IN THE PRESCRIBED FORMS MAY RESULT IN THE APPLICANT BEING SUMMARILY DISQUALIFIED. APPLICATION SENT BY FAX OR SUCH OTHER MEANS AND THOSE RECEIVED LATE MAY BE REJECTED.

1.03 All the information must be submitted in English or Hindi.

1.04 The bid should be typewritten or printed but not handwritten. The applicants name and signature should appear on each page of the bid.

1.05 Overwriting is not allowed. Corrections if any, shall be made by crossing out, initialing with date and rewriting. All pages of the pre- qualification document shall be numbered and submitted as a package with signed letter of transmittal.

1.06 References, information and certificates from the respective authority certifying suitability technical capability of the applicants should be signed by the authority.

1.07 The applicant is advised to attach any additional information which he thinks necessary with regard to his capabilities. No further information will be entertained after submission of pre-qualification document unless it is called by the department.

1.08 Applications are invited for complete work as detailed in the brief note of this document. The pre-qualification tender document in prescribed form duly completed and signed shall be submitted in the sealed cover. The sealed cover should be superscripted “Pre-qualification tender document” for the work- "**Construction of Bhaoni Dam Project**" in Distt. Lalitpur shall be received in the offices mentioned in the Tender Notice before **17.09.2012(up to 3.00 PM).** Documents submitted in connection with pre-qualification will be treated as confidential and will not be returned.

1.09 The cost incurred by applicants in preparing this application, in providing clarifications or attending discussions, conferences in connection with this work/tender will be borne by the applicant and the department will in no case be responsible or liable for these costs, regardless of the conduct or out come of the pre-qualification process.

2.00 - DEFINITION-

2.01 In this document the following works and expressions have the meaning hereby assigned to them.

2.02 **DEPARTMENT** : Means the Irrigation Department, Uttar Pradesh.

2.03 **APPLICANT** : Means the individual, Proprietary Firm, Firm in partnership, Limited Company or a Corporation eligible to tender. Only single entity can participate in prequalification. No Joint Venture will be allowed.

3.00 - METHOD OF APPLYING :-

3.01 If the application is made by an individual, it should be signed by the individual above his full type written or printed name and current address.

3.02 If the application is made by proprietary firm. It shall be signed by the proprietor above his full type-written or printed name and the full name of his firm with its current address.

3.03 If the application is made by a firm in partnership, it shall be signed by all the partners of the firm above their full type-written or printed names and current address or alternatively by a partner holding power of attorney for the firm in which case a certified copy of the power of attorney shall accompany the bid. A certified copy of the registered partnership deed and current address of all the partners of the firm shall also accompany the application.

3.04 If the application is made by a limited company or a corporation it shall be signed by duly authorized person holding power of attorney for signing the application in which case a certified copy of the power of attorney shall accompany the application. Such limited company or corporation may be required to furnish satisfactory evidence of its existence before the pre-qualification application is filled.

4.00 - FINAL DECISION MAKING AUTHORITY :-

4.01 The committee/department reserves the right to accept or reject any or all applications and to amend the pre-qualification process without assigning any reason. For this action any claim from the firm/Contractor will not be entertained.

5.00 - PARTICULARS PROVISIONAL :-

5.01 The particulars of the proposed work given in chapter-I are provisional and must be considered only as advance information to assist applicant.

6.00 - SITE VISIT :-

6.01 The applicants are advised to visit and examine the site of works and its surroundings & obtain for him-self, all information that may be necessary for preparing the pre-qualification on his own responsibility. The costs of visiting the site shall be at applicants own expense.

6.02 The applicant and any of his personnel or agents will be granted permission by the department to enter its his premises and lands for the purpose of such inspection, but only upon the express condition that the applicant, his personnel or agents will release and indemnify the department and his personal and agents from and against all liability in respect there of and will be responsible for personal injury (Whether fatal or other-wises) loss of or damage to property and any other loss, damage costs and expenses however caused within but for the exercise of such permission would not have arisen.

7.00 - ELIGIBILITY AND MINIMUM CRITERION FOR PRE-QUALIFICATION-

7.01 This invitation to pre-qualification is open to all eligible applicants registered in "**AA**" category in U.P. Irrigation department .

7.02 Firms/Contractors shall provide evidence satisfactory to the department of their eligibility under sub-clause-7.01 above and of their capability and adequacy of resources to carry-out the contract effectively. All applications submitted shall include the following information-

i. Letter of Transmittal .
ii. Attested copies of original documents defining the constitution or legal status/ structure of organization, place of registration and principal place of business of the company or corporation or firm or partnership in form-'**A**'. Applicant shall also provide written power of attorney of the signatory of the bid to commit the bidder.
iii. Reports on the financial standing of the applicant such as profit and loss statement, balance sheets and auditor's report for the past five years, i.e., 2007-08 to 2011-12. Estimated financial projection for the last two years and an authority from the applicant to seek references from the applicant's bankers Form-'**B'**
iv. Details of experience and past performance of the applicant on works of similar nature for each of the last five years in Form-'**C**' and details of current work in hand and other contractual commitments along with details of clients who may be contacted for further information on those contracts in From-'**D**'.
v. Major items of constructional plant proposed for use in carrying out the contract in the format prescribed in form-'E'
vi. The qualification and experience of key personnel proposed for administration and execution of the contract both on and off site, in the format prescribed in Form-'**F-1'** and form '**F-2'**
vii. Any other relevant additional information in form-'**G**'
viii. Information regarding any litigation or arbitration resulting from contracts executed by the Bidder in the last five years or currently under execution. The information shall include the names of the parties concerned, the disputed amount, cause of litigation, and matter in dispute.
ix. Information sought in "Qualification Information" enclosed must also be filled up with complete details.
x. Total monetary value of construction work performed for each of the last five years.
xi. Evidence of adequacy of working capital for this contract(access to line (s) of credit and availability of other financial resources).

7.03 For the purpose of this particular contract, applicants should meet the following qualifying criteria as a minimum:-

(a) **Conduct: -** should not have criminal record, a certificate in this regard from District Magistrate/First Class Magistrate is to be furnished.

(b) **Experience: -** To qualify, the applicant should have successfully and timely completed/ substantially completed (at least 90% of contract value) construction of at least one similar type of hydraulic structure of value not less than 190 crore and storage capacity of at least 20 MCM . A certificate to this effect, issued by concerned Executive Engineer is required to be submitted.

(c) Should give evidence of having adequate experience in mobilizing equipment and personnel for such a large contract and in the deployment of heavy equipments in using technologies in construction of spillway.

(d) To qualify, the applicant must have experience of Earthwork in cutting/filling in Embankment of at least 8.00 Lacs cubic meter during the last 3 years at least 3,50,000 cubic meter in any one of the last 3 years.

(e) To qualify, the applicant must also have experience of RCC/CC of about 80,000 cubic meter during the last 3 years and at least 40,000 cubic meter in any one of the last 3 years.

(f) To qualify, the applicant must have designed and executed Earth Dam of Length 3.00 km or spillway/ Concrete Dam of at least a length of 120 m and height of 10 meter.

(g) To qualify, the applicant must also have executed at least 10,000 M^3 of revetment for earthen dams.

Above information related to clause 7.03 must be certified by an officer not below the rank of Executive Engineer.

7.04 **Conditions :-**

Contractors firms should ensure themselves before purchasing the tender documents that they fulfill the following requirements :-

(a) Should have annual financial turnover not less than Rs. 160.00 Crore (Rs. One Hundred Sixty Crore) in at least two financial years within last 5 financial years.

Following enhancement factor will be used to bring the financial figures to a common base value for works completed.

Year Before (year before means financial year)	Multiplying factor
2011-2012	1.05
2010- 2011	1.10
2009- 2010	1.16
2008-2009	1.22
2007-2008	1.28

(b) Should not have incurred any financial loss during the last 3 years.

(c) Should have a solvency of not less than Rs. 50.00 Crore (Rs. Fifty Crore).

(d) The Firms/Contractors access to or should be in the possession of liquid assets (working capital, cash in hand) and/or credit facility of not less than Rs. 25.00 Crore (Rs. Twenty Five Crore).

(e) Net worth of the firm/ contractor must be at least Rs. 50.00 Crore

(f) The applicant should have due ISO Certification.

8.00 - Pre-Bid :-

A pre-bid meeting with the prospective Firms/Contractors those who wish to be present will be held in the office of the Superintending Engineer, Irrigation Construction Circle, Jhansi in Fore-Noon on **14-09-2012** in his office. Any amendment as may be decided during the meeting or later by the department will be intimated to the bidders well in advance. Such amendment as may be notified by the department shall also be binding for the execution of work and will be included in the agreement to be entered by the successful bidder with the department.

After Evaluation of pre-qualification applications, the qualified Firms/ Contractors will be invited to participate in the financial bid.

DECLARATION TO BE FURNISHED

I/We hereby declare that the information furnished by me/us is a true statement of facts.

Date :……/…… /2012

……
(Name, Designation and title)
Of Person Signing

(TO BE FILLED IN BY THE APPLICANT)

For Pre-Qualification

To,

The Superintending Engineer,

Irrigation Construction Circle,

Jhansi

Subject:- Application for pre qualification of contractors for **"Construction of Bhaoni Dam Project"** in Distt. Lalitpur (U.P.).

Sir,

Reference is invited to your advertisement no:- **01/SE/ICC,Jhansi/2012-13** Dated- 20-08-2012, I/We are interested in getting ourselves pre-qualified for the aforesaid work.

2- Enclosed herewith please find the questionnaire duly filled in along with the documents listed below for Perusal and consideration. I/We agree to abide by the terms and conditions as stipulated in pre-qualification documents and to be prescribed by the Irrigation Department, Uttar Pradesh from time to time in this respect.

List of documents enclosed.

(i) ……..

(ii)…….

(iii) …….

(iv) …….

(v)…….

Yours Faithfully

..……

Name :…….

Designation :……

(For and on behalf of Contractor)

Form –"A"

Sl no.	Questions	Replies by the Firms Contractors
1-	Name of Firm	
2-	Nationality of Firm	
3-	Registered Office Address	
4-	Head Office Address	
	Cable Address	
	Telephone Address	
	Telex/Tele-Fax	
5-	Former Name of Company (If any)	
6-	Branch Office and Address	
7-	Status of Organization	
	Individual	
	Partnership	
	Incorporated Company	
8-	Year when established and where	
9-	The firm is a	
	(a) Member of a group of companies (if yes give name, address) connection and description of the other companies (b) Subsidiary of large organization (if yes give particulars)	
10	Has your firm worked as a (i) Joint Venture : (ii) Prime Contractor Please give name and address of Prime contractor and details of contractor all arrangement with the prime contractor.	
11	(a) Have you executed works in the last 5 years in construction of spillway/Concrete Dam. If so please furnish details and particulars of such works in the relevant format (format-C) attached and also furnish exhibits of the important projects successfully completed by you.	
	(b) Attach a note highlighting the details of works indicating degree of mechanization adopted, major equipment used and time schedule adhered in respect of each of the major works pertaining to the construction of spillway/ Concrete Dam, Earthen Dam or Canal and allied works.	
	(c) What best describes your firm : (i) Engineers & Contractors (ii) Consulting Engineers & Contractors (iii) Contractors. (iv) If other. Please specify. (v) Quantity of concrete executed per year in last 5 years in any work under Central/ State govt. or in P.S.U. of India. Give details with attested certificate from department concerned in form 'G' attached.	
12	Are you registered with any other Govt. Deptt. Public sector undertaking (if yes, give details)	
13	Are you working anywhere on turnkey/ EPIC basis (if yes,	

	give details)	
14	What type of work usually execute? (if yes, give details)	
15	What countries/ state/cities, the firm operates (give reference)	
16	For what Bureaus of Deptt. have you performed such work (Give reference)	
17	Have you ever failed to complete any work awarded to you (if so give particulars)	
18	(a) in how many projects have you asked for arbitration (if yes, give details) How many cases were settled in your favour? (give details)	
	(b) In how many projects have you asked for extra claims? If so please give details. How many claims were settled in your favor (give details)	
19	In how many projects were you settled with penalties for delay. (give details)	
20	In what other line of business are you engaged? (give details)	
21	Do you have material testing laboratory and mobile laboratories (give details)	
22	Whether you were ever debarred for tendering by any govt. Deptt. Public sector Undertaking of India or any other country? (give details)	
23	(a) For how many years have you been in business under your present business name?	
	(b) What were your working fields when your organization was established? Whether any new work areas added in your organization ? If so when ?	
24	In what fields do you claim specialization?	
25	What is your source of finance ? (Please give details. bank reference in the prescribed format.)	
26	Have your company ever been declared bankrupt. (if yes, please give details) More than six months at a stretch after start of work ? If so, give details.	
27	(a) what is the maximum value of project work that you handled in a year ?(give details)	
	(b) What is the maximum value of single contract that you have handled so far ? (give details)	
28	(a) Give the last 5 years account with auditor's reports, balance sheets. Profit and loss account.	
	(b) Attach an attested photocopy of last year's income tax clearance certificate.	
29	Whether the owner/managing partner of the firm/ Contractor has any criminal record ? A certificate in this regard from the District Magistrate to be attached.	

I/We hereby declare that the above information furnished by me/us is a true statement of facts.

……..

Signature of Contractor/Firm

Form "B"

FINANCIAL BUSINESS AND TECHNICAL CAPABILITY

NAME OF FIRM/CONTRACTOR -.....…….....
NAME OF PARTNER DIRECTORS -……..…….…….....
Address of applicant :-…….....
Telephone No.-…….Fax No.-…….....……Latest balance sheet filled with …….. On ……. Attach a copy Latest profit and loss statement from …… to …….. Filled with …….. on …… attach a copy.

Financial Position-

(a) Cash -
(b) Current Assets-
(c) Current Liabilities -
(d) Working capital -
(e) Net worth-

Total Liabilities -

(a) Current ratio, Current Assets to current liabilities.-
(b) Acid test ratio : cash temporary investment held in lieu of cash and current receivable to current liabilities. -
(c) Total liability of Net worth.-
Capital -
Authorized-
Issued -

Annual value of Construction work undertaken of each of last five years and project for current year.

Year	2011-12	2010-11	2009-10	2008-09	2007-08
Home					
Abroad					

Income before tax -

(a) Current Period
(b) During the last financial year
(c) During the year before last financial year

The profit and loss statement have been certified through ……..... by…….

Applicant's financial arrangements (Check appropriate them)

(d) Own resources
(e) Bank credits.
(f) Other (Specify)

8- Certificate of Financial soundness from bankers of applicant (from a Nationalized/ Scheduled bank of India)

……
Signature of Contractor/ Firm

Form 'C'

Works of similar type and magnitude to work consideration
Works completed by the Contractor/Firm

Sl. No.	Name of work	Description of work	Employers Name & Address	Contract Value	Date of Start of work	Stipulated Period of Completion	Time in which completed	Cost of work on Completion	Claim filled by Applicant
1-	2-	3-	4-	5-	6-	7-	8-	9-	10-

Note :- Attested Copies of Certificate should be enclosed as proof of information entered.

……
Signature of Contractor/ Firm

Form 'D'

Works Tendered for and work to be completed as-on the date of submission of application.

Sl. No.	Name of work	Employers Name & Address	Work in Hand				Work tendered for		
			Contract Value	Contract No & Date	Cost of work remaining to be executed	Anticipated date of completion	Estimated Cost	Date when decision is Expected	Stipulated date and period of completion
1-	2-	3-	4-	5-	6-	7-	8-	9-	10-

Note :- Attested Copies of Certificate should be enclosed as proof of information entered.

……

Signature of Contractor / Firm

Form-"E"

LIST OF EQUIPMENT AVAILABLE WITH THE CONTRACTOR/FIRM FOR THE WORK

Sl No.	Name of T&P in working condition	Make/capacity/ year of manufacture	Nos. available	Total Useful life of new T&P in Hours	Past use in Hours.
1.	2.	3.	4.	5.	6.
1.	Hydraulic Excavator	…… ……	…… ……	…… ……	…… ……
2.	J.C.B. Machine	…… ……	…… ……	…… ……	…… ……
3	Compressor/Drilling machine	…… ……	…… ……	…… ……	…… ……
4	Sheep Foot Roller/ Vibratory roller/Dozer	…… …… ……	…… …… ……	…… …… ……	…… …… ……
5	Water tanker/ Sprinkler	…… ……	…… ……	…… ……	…… ……
6	Batching Plant and Concrete pumping equipments	…… …… ……	…… …… ……	…… …… ……	…… …… ……
7	Transit Mixer	…… ……	…… ……	…… ……	…… ……
8.	Vibrator	…… …… ……	…… …… ……	…… …… ……	…… …… ……
9.	Concrete Grouting equipment	…… ……	…… ……	…… ……	…… ……
10.	Dumper	…… ……	…… ……	…… ……	…… ……
11.	Generator set 62KVA/ 125KVA	…… …… ……	…… …… ……	…… …… ……	…… …… ……
12.	Computers Network facilities	…… …… ……	…… …… ……	…… …… ……	…… …… ……
13.	Other machinery if any	…… …… ……	…… …… ……	…… …… ……	…… …… ……

NOTE:- Only those equipments should be listed whose invoices are in contractors/ firm's name. Attested copies of the invoices should be submitted with the document.

……

Signature of Contractor/ Firm

Form-"F-1"

Designation	Name of (i) Nominee (ii) Alternate	Summary of qualification Experience and present occupation
<u>Head-quarter-</u> Partner/Director I/C Other Key staff (give designation)	…… …… …… …… …… ……	…… …… …… …… …… ……
<u>Site Office -</u> Supervising Engineers/ Construction Supervisors/ Other Key staff	…… …… …… …… …… …… ……	…… …… …… …… …… …… ……

The applicant shall in this schedule name the key personnel (including first nominee and the second choice alternate) he will employ from headquarters and from site office to direct and execute work. Together with their qualifications, experience positions held.

……

Signature of Contractor/ Firm

Form-"F-2"

DETAILS OF PERSONNEL, WITH THE CONTRACTOR/ FIRM FOR THE WORK

Name of Contractor/Firm -…….

Sl No.	Designation	Name	Qualifications & Date of appointment	Professional experience and details of works carried out.	Remarks
1	2	3	4	5	6
1-	Engineering Graduates	…… …… ……	……. …….. ……..	…….. …….. ……..	…… …… ……
2-	Diploma Engineer	……... …… ……	……. …….. ……..	…….. …….. ……..	…… …… ……
3-	Graduates	…… …… ……	…….. …….. ……..	…….. …….. ……..	…… …… ……

Sl. No.	Designation	With Contractor/Firm	That could be made available for work
1	2	3	4
1-	Number of Skilled employees	……	……
2-	Number of Unskilled employees	……	……

……

Signature of Contractor / Firm

Form-"G"

Quantities of work executed as prime contractor (in the same name and style) in the last five years:

Year	Name of the Work	Name of the Employer*	Quantity of work performed (cum) @			Remarks (indicate contract Ref)
			Cement concrete (including RCC&PCC)	E/works	Revetment	
2007-2008						
2008-2009						
2009-2010						
2010-2011						
2011-2012						

Form-"H"

ADDITIONAL INFORMATION

(A) Please add any further information which the applicant considers relevant in regard to his capabilities.

(B) Please give a brief note indicating why the applicant considers himself eligible for prequalification for the work

……..

Signature of the applicant